

COMMISSION SCHEDULE

Effective July 16, 2018 • Subject to change



ONLINE TRADING: Equities and Options – \$29.95*

\$29.95 per-trade cost applies to all trades up to 500 shares.

There is an additional cost of \$.02 per share for each share that exceeds 500.

TRADE BY PHONE

Equities

| Transaction Amount | Commission Rate |
|---------------------|--------------------------------|
| Up to \$15,000 | \$60 + 0.6% Transaction Amount |
| \$15,001 – \$50,000 | \$60 + 0.5% Transaction Amount |
| Over \$50,000 | \$60 + 0.4% Transaction Amount |

All transactions are subject to a \$60 minimum commission plus a \$2.00 service charge.

Listed stocks trading under \$3.00 per share may incur an additional 0.02 per share charge if trading more than 5,099 shares.

FULL-SERVICE TRADING

Equities

| Transaction Amount | Commission Rate |
|---------------------|--------------------------------|
| Up to \$15,000 | \$60 + 0.6% Transaction Amount |
| \$15,001 – \$50,000 | \$60 + 0.5% Transaction Amount |
| Over \$50,000 | \$60 + 0.4% Transaction Amount |

All transactions are subject to a \$60 minimum commission plus a \$2.00 service charge.

Listed stocks trading under \$3.00 per share may incur an additional 0.02 per share charge if trading more than 5,099 shares.

Options

| Transaction Amount | Commission Rate |
|--------------------|--------------------------------|
| Up to \$3,000 | \$60 + 0.9% Transaction Amount |
| \$3,001 – \$10,000 | \$60 + 0.8% Transaction Amount |
| Over \$10,000 | \$60 + 0.7% Transaction Amount |

Maximum Charge: \$25 per contract

Minimum Charge: \$3 per contract

All transactions are subject to a \$60 minimum commission plus a \$2.00 service charge.

Online and automated trading do not permit direct access to securities markets. All orders are processed through FTB Advisors, Inc. System response and account access times vary due to a variety of factors, including demand, market conditions, and system performance.

Investments: Not A Deposit | Not Guaranteed By The Bank Or Its Affiliates | Not FDIC Insured | Not Insured By Any Federal Government Agency | May Go Down In Value

FTB Advisors is the trade name for wealth management products and services provided by First Tennessee Bank National Association (operating as First Tennessee Bank and Capital Bank) ("FTB") and its affiliates. Investment management services and investments available through FTB Advisors, Inc., member FINRA, SIPC, and a subsidiary of FTB.